UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

NOTICE OF ALLOWANCE AND FEE(S) DUE

52054 7590 04/13/2012 PEARNE & GORDON LLP

1801 EAST 9TH STREET SUITE 1200 CLEVELAND, OH 44114-3108 EXAMINER

TRAN, THIEN S

ART UNIT PAPER NUMBER

3742

DATE MAILED: 04/13/2012

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/599,431	04/02/2007	Haruo Suenaga	NGB-41339	8733

TITLE OF INVENTION: HIGH-FREQUENCY HEATING DEVICE

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1740	\$300	\$0	\$2040	07/13/2012

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN <u>THREE MONTHS</u> FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. <u>THIS STATUTORY PERIOD CANNOT BE EXTENDED.</u> SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

A. If the status is the same, pay the TOTAL FEE(S) DUE shown above.

B. If the status above is to be removed, check box 5b on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or

If the SMALL ENTITY is shown as NO:

A. Pay TOTAL FEE(S) DUE shown above, or

B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and 1/2 the ISSUE FEE shown above.

II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: Mail Mail Stop ISSUE FEE

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

or <u>Fax</u> (571)-273-2885

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where m

appropriate. All further indicated unless correct maintenance fee notifica	ed below or directed oth	ng the Patent, advance onerwise in Block 1, by (orders and notification of (a) specifying a new corre	maintenance fees vespondence address	vill be r ; and/or	nailed to the current (b) indicating a sepa	correspondence rate "FEE AD	e address as DRESS" for
CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address) 52054 7590 04/13/2012 PEARNE & GORDON LLP 1801 EAST 9TH STREET SUITE 1200 CLEVELAND, OH 44114-3108				Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission.				
				Cer ereby certify that th ites Postal Service v dressed to the Mai nsmitted to the USP	mission g deposited wit tt class mail in above, or bein tte indicated be	h the United an envelope ng facsimile low.		
,							(Dr	epositor's name)
			<u> </u>					(Signature)
	_							(Date)
APPLICATION NO.	FILING DATE		FIRST NAMED INVENTO	R	ATTO	RNEY DOCKET NO.	CONFIRMAT	TION NO.
10/599,431 TITLE OF INVENTION	04/02/2007 : HIGH-FREQUENCY	HEATING DEVICE	Haruo Suenaga			NGB-41339	873.	3
APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSU	E FEE	TOTAL FEE(S) DUE	DATE	E DUE
nonprovisional	NO	\$1740	\$300	\$0		\$2040	07/13	3/2012
EXAM	IINER	ART UNIT	CLASS-SUBCLASS	7				
TRAN, T	TRAN, THIEN S 3742		219-715000	_				
"Fee Address" ind PTO/SB/47; Rev 03-0 Number is required. 3. ASSIGNEE NAME A PLEASE NOTE: Uni	ondence address (or Cha B/122) attached. ication (or "Fee Address 12 or more recent) attach ND RESIDENCE DATA less an assignee is ident	" Indication form ed. Use of a Customer A TO BE PRINTED ON '	2. For printing on the (1) the names of up to agents OR, alternat (2) the name of a sing registered attorney or 2 registered patent att listed, no name will b THE PATENT (print or type data will appear on the or a substitute for filling and the content of the	o 3 registered pater ively, gle firm (having as a agent) and the namorneys or agents. If e printed. //pe) patent. If an assign	nt attorned a member nes of up no name	er a 2 to to e is 3	ocument has b	een filed for
4a. The following fee(s) ☐ Issue Fee ☐ Publication Fee (N	iate assignee category or are submitted: No small entity discount p	4lpermitted)	(B) RESIDENCE: (CIT rinted on the patent): b. Payment of Fee(s): (Ple A check is enclosed. Payment by credit co	☐ Individual ☐ Corase first reapply and ard. Form PTO-2038	orporation ny previ	on or other private gro iously paid issue fee : hed.	shown above)	
☐ Advance Order - #	of Copies		The Director is hereboverpayment, to Dep	y authorized to char osit Account Numb	rge the r er	equired fee(s), any de (enclose a	ficiency, or cre n extra copy of	dit any this form).
5. Change in Entity Sta	tus (from status indicated as SMALL ENTITY state		☐ b. Applicant is no lo	ana alaimina CMA	TT DAM	TTV status Coo 27 CI	ED 1 27(~)(2)	
NOTE: The Issue Fee an	d Publication Fee (if req	uired) will not be accepte	ed from anyone other than					other party in
interest as shown by the	records of the United Sta	tes Patent and Trademark	k Office.					
Authorized Signature				Date				
Typed or printed name			Registration No					
an application. Confiden	tiality is governed by 35 d application form to the ions for reducing this bu. Virginia 22313-1450. DO	U.S.C. 122 and 37 CFR	on is required to obtain or 1.14. This collection is e y depending upon the ind he Chief Information Offic COMPLETED FORMS T	stimated to take 12	minutes	to complete, including on the amount of tire	g gathering, pr	reparing, and

PTOL-85 (Rev. 02/11) Approved for use through 08/31/2013.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS

P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

DATE MAILED: 04/13/2012

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.	
10/599,431	04/02/2007 Haruo Suenaga		NGB-41339	8733	
52054 75	590 04/13/2012	EXAMINER			
PEARNE & GOI		TRAN, THIEN S			
1801 EAST 9TH S SUITE 1200	TREET		ART UNIT	PAPER NUMBER	
CLEVELAND, OI	H 44114-3108		3742		

Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 514 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 514 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.

Privacy Act Statement

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- 1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

	Application No.	Applicant(s)
	10/599,431	SUENAGA ET AL.
Notice of Allowability	Examiner	Art Unit
	THIEN S. TRAN	3742
	THIEN S. THAN	3742
The MAILING DATE of this communication apperall claims being allowable, PROSECUTION ON THE MERITS IS herewith (or previously mailed), a Notice of Allowance (PTOL-85) NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RIOF the Office or upon petition by the applicant. See 37 CFR 1.313	(OR REMAINS) CLOSED in this a or other appropriate communication GHTS. This application is subject	application. If not included on will be mailed in due course. THIS
1. \square This communication is responsive to <u>the amendements rece</u>	eived on 3/27/2012.	
 An election was made by the applicant in response to a rest the restriction requirement and election have been incorporate 		the interview on;
3. ☑ The allowed claim(s) is/are <u>1, 5-9, 13, 14 and 32-38</u> .		
 Acknowledgment is made of a claim for foreign priority unde a)	r 35 U.S.C. § 119(a)-(d) or (f).	
 Certified copies of the priority documents have 	been received.	
Certified copies of the priority documents have	been received in Application No.	·
Copies of the certified copies of the priority do	cuments have been received in thi	s national stage application from the
International Bureau (PCT Rule 17.2(a)).		
* Certified copies not received:		
Applicant has THREE MONTHS FROM THE "MAILING DATE" on noted below. Failure to timely comply will result in ABANDONM THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.		y complying with the requirements
 A SUBSTITUTE OATH OR DECLARATION must be submit INFORMAL PATENT APPLICATION (PTO-152) which give 		
6. ☐ CORRECTED DRAWINGS (as "replacement sheets") must	be submitted.	
(a) I including changes required by the Notice of Draftspers	on's Patent Drawing Review (PT0	D-948) attached
1) 🗌 hereto or 2) 🔲 to Paper No./Mail Date		
(b) ☐ including changes required by the attached Examiner's Paper No./Mail Date	s Amendment / Comment or in the	Office action of
Identifying indicia such as the application number (see 37 CFR 1. each sheet. Replacement sheet(s) should be labeled as such in the		
 DEPOSIT OF and/or INFORMATION about the deposit of B attached Examiner's comment regarding REQUIREMENT FC 		
Attachment(s)		
1. Notice of References Cited (PTO-892)	5. Notice of Informal	• •
2. Notice of Draftperson's Patent Drawing Review (PTO-948)	6. ☐ Interview Summa Paper No./Mail D	
3. ☐ Information Disclosure Statements (PTO/SB/08),	7. Examiner's Amen	
Paper No./Mail Date4. Examiner's Comment Regarding Requirement for Deposit	8. 🛛 Examiner's Stater	nent of Reasons for Allowance
of Biological Material		
	9. 🔲 Other	
/THIEN S TRAN/		
Examiner, Art Unit 3742		

Application/Control Number: 10/599,431 Page 2

Art Unit: 3742

Allowable Subject Matter

1. Claims 1, 5-9, 13, 14, 32-38 are allowed.

- 2. The following is an examiner's statement of reasons for allowance: allowance of claims 1, 5-9, 13, 14, 32-38 is indicated because none of the prior art of record, alone or in combination, appears to teach, or fairly suggest or render obvious the invention of the claims or recited allowable subject matter in claim 1.
- 3. Claim 1 recites a high-frequency heating apparatus for driving a magnetron, comprising: an error signal generation circuit generating an error signal based on a difference between an input current of the AC power supply and the reference circuit, and a frequency-modulated signal generation circuit that outputs to the dead time generation circuit a frequency-modulated signal obtained by controlling an amplitude of a rectified voltage signal output from the DC power supply based on the error signal, wherein the drive unit limits the lowest frequency of a frequency with which the semiconductor switching devices are driven, so that the lowest frequency is set to be high at the beginning of operation of the high frequency heating apparatus, and the lowest frequency is set to be lower gradually thereafter.
- 4. Any comments considered necessary by applicant must be submitted no later than the payment of the issue fee and, to avoid processing delays, should preferably accompany the issue fee. Such submissions should clearly be labeled "Comments on Statement for Reasons for Allowance."

Application/Control Number: 10/599,431 Page 3

Art Unit: 3742

5. Any inquiry concerning this communication or earlier communications from the examiner should be directed to THIEN TRAN whose telephone number is (571)270-7745. The examiner can normally be reached on Mon-Thurs, 8-5PM EST.

- 6. If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Tu Hoang can be reached on 571-272-4780. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.
- 7. Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/THIEN TRAN/
Examiner, Art Unit 3742
4/2/2012
/TU B HOANG/
Supervisory Patent Examiner, Art Unit 3742